Humana Employee Enrollment Application - 2-9 Employees OHIO

The offering company(ies) listed below, severally or collectively, as the content may require, are referred to in this application as "Humana."

HMO plans offered by Humana Health Plan of Ohio, Inc. POS plans offered by Humana Health Plan of Ohio, Inc. and insured or administered by Humana Insurance Company. PPO and Classic medical plans and Life and Short-Term Income Protection plans insured or administered by Humana Insurance Company. Dental plans insured or administered by HumanaDental Insurance Company or Humana Insurance Company.

Please print clearly and fill in each applicable circle.

Medical Group number | Benefit number | Class/Division

Company name | Proposed Effective Date (MMDDYYYY)

Company city | State

Employee Information

OH-80124-GN 5/2006

Last name | First name | MI | Date of birth

Social Security number | Phone number

Gender: ❍ Female ❍ Male | Email address

Street address | Apt / Suite / PO Box number

City | State | Zip code | County

Language of choice: ❍ English ❍ Spanish

Employment status: ❍ Full-time employee: Number of hours worked per week | Date of full-time hire | ❍ Retiree

Are you disabled or unable to perform normal activities? ❍ No ❍ Yes If yes, indicate reason:

Dependent Information

OH-80124-DP 5/2006

Please enter information for each dependent, including spouse, applying for coverage. For additional dependents, copy and attach an additional Dependent Information form.

1. Last name | First name | MI | Date of birth

Social Security number | Gender: ❍ Female ❍ Male | Relationship: ❍ Spouse ❍ Child ❍ Other:

Dependent status (if applicable): ❍ Full-time student ❍ Disabled | If disabled, indicate reason:

HMO and POS only:

Primary care physician | Physician ID | Current Patient: ❍ No ❍ Yes

2. Last name | First name | MI | Date of birth

Social Security number | Gender: ❍ Female ❍ Male | Relationship: ❍ Spouse ❍ Child ❍ Other:

Dependent status (if applicable): ❍ Full-time student ❍ Disabled | If disabled, indicate reason:

HMO and POS only:

Primary care physician | Physician ID | Current Patient: ❍ No ❍ Yes

3. Last name | First name | MI | Date of birth

Social Security number | Gender: ❍ Female ❍ Male | Relationship: ❍ Spouse ❍ Child ❍ Other:

Dependent status (if applicable): ❍ Full-time student ❍ Disabled | If disabled, indicate reason:

HMO and POS only:

Primary care physician | Physician ID | Current Patient: ❍ No ❍ Yes

4. Last name | First name | MI | Date of birth

Social Security number | Gender: ❍ Female ❍ Male | Relationship: ❍ Spouse ❍ Child ❍ Other:

Dependent status (if applicable): ❍ Full-time student ❍ Disabled | If disabled, indicate reason:

HMO and POS only:

Primary care physician | Physician ID | Current Patient: ❍ No ❍ Yes

Group Number | Social Security Number

Medical

OH-80124-MD 5/2006

Coverage type: ❍ Employee only ❍ Employee and spouse ❍ Employee and child(ren) ❍ Family ❍ Other

Plan name | Network name

HMO and POS only:

Employee primary care physician | Physician ID | Current Patient: ❍ No ❍ Yes

Concurrent medical coverage:

• Will you or any of your covered dependents have any other individual or other group medical coverage, including Medicare, in effect at the same time as this Humana coverage? ❍ No ❍ Yes
If yes, please complete below.

Individual or other group medical coverage:

Medical carrier name

Policy number | Effective date

Carrier phone number | Term date

Coverage type: ❍ Employee only ❍ Employee and spouse ❍ Employee and child(ren) ❍ Family

Medicare coverage:

Employee Coverage: ❍ No ❍ Yes Effective date

Medicare ID | Term date

Spouse Coverage: ❍ No ❍ Yes Effective date

Medicare ID | Term date

Prior medical coverage: (This section must be completed in order for Humana to process any medical claims.)

• Within the past 18 months, have you or any of your covered dependents had any other individual or other group medical coverage, including Medicare? ❍ No ❍ Yes If yes, please complete below.

Individual or other group medical coverage:

Prior medical carrier name

Policy number | Effective date

Prior carrier phone number | Term date

Prior coverage type: ❍ Employee only ❍ Employee and spouse ❍ Employee and child(ren) ❍ Family

Medicare coverage:

Prior Employee Coverage: ❍ No ❍ Yes Effective date

Medicare ID | Term date

Prior Spouse Coverage: ❍ No ❍ Yes Effective date

Medicare ID | Term date

Dental

OH-80124-HD 5/2006

Group number | Benefit number | Class/Division

Coverage type: ❍ Employee only ❍ Employee and spouse ❍ Employee and child(ren) ❍ Family ❍ Other

Plan name

Within the past 12 months, have you had any individual or other group dental coverage? ❍ No ❍ Yes Orthodontia coverage? ❍ No ❍ Yes

Effective date | Term date

Prior coverage type: ❍ Employee only ❍ Employee and spouse ❍ Employee and child(ren) ❍ Family

Basic Life

OH-80124-HL 5/2006

Group number | Benefit number | Class/Division

Primary beneficiary name | Secondary beneficiary name

Class (employer will provide you with this information if needed) | Annual salary (if applicable) $

Basic dependent life: ❍ No ❍ Yes If no, complete waiver section.

Voluntary Life

Group number | Benefit number | Class/Division

Do you elect voluntary employee life coverage? ❍ No ❍ Yes Amount (minimum of $15,000) $ | Annual salary $

Primary beneficiary name | Secondary beneficiary name

Voluntary dependent life: (available only if employee elects voluntary life coverage) Do you elect voluntary child(ren) life coverage? ❍ No ❍ Yes

Do you elect voluntary spouse life coverage? ❍ No ❍ Yes Amount (minimum of $5,000) $

Short-term Income Protection

OH-80124-SP 5/2006

Group number | Benefit number | Class/Division

Do you elect short-term income protection coverage? ❍ No ❍ Yes Annual salary $

Class (employer will provide if needed)

Group Number	Social Security Number

Evidence of Health Status

OH-80124-HS 5/2006

This information should not be submitted more than 60 days prior to the effective date.

Complete this section for employees and dependents enrolling for medical coverage who are members of groups with 2-9 applicants and applicants requesting Life insurance over the guarantee issue amount, and all late enrollees applying for Short-term income protection or Life coverage.

1. Are you or any dependent currently under any treatment or prescribed medications? ❍ No ❍ Yes
2. Have you or any dependent had unexplained weight loss or fatigue in the past 12 months? ❍ No ❍ Yes
3. Have you or any dependent ever had, been diagnosed with, counseled, consulted or treated for any of the following within the past 5 years:
   - a. Chest pain; disease of heart, arteries or blood vessels; high or low blood pressure? ❍ No ❍ Yes
   - b. Nervous, mental or emotional disorder; convulsions; epilepsy; unconsciousness? ❍ No ❍ Yes
   - c. Asthma or other disease of lungs or respiratory organs? ❍ No ❍ Yes
   - d. Kidney stones; disease of kidney, bladder, male or female organs; or infertility? ❍ No ❍ Yes
   - e. Cancer, and/or cancerous tumor? (state type; part of body) ❍ No ❍ Yes
   - f. Diabetes; liver or thyroid disease; or enlargement of the lymph nodes? ❍ No ❍ Yes
   - g. Stomach, gall bladder, intestinal or colon disorders? ❍ No ❍ Yes
   - h. Rheumatoid arthritis or back disorders? ❍ No ❍ Yes
   - i. Phlebitis, paralysis, or any other physical impairment or deformity? ❍ No ❍ Yes
   - j. Alcoholism or drug habit, or been a member of Alcoholics Anonymous? ❍ No ❍ Yes
4. Have you or any dependent been diagnosed or received treatment for AIDS or an AIDS-related complex or other immune system disorder within the past 5 years? ❍ No ❍ Yes
5. Have you or any dependent been hospitalized or had hospitalization advised, had surgery or been advised to have surgery, had any injury, illness, medical attention or medical advice or treatment during the past 5 years for any reason not already mentioned? ❍ No ❍ Yes
6. Are you or any dependent pregnant or ever had a cesarean section? ❍ No ❍ Yes
7. Please provide height/weight information for all applicants enrolling for coverage:

Name	Height	Weight
a. Employee name	Height (ft / in)	Weight (lbs.)
b. Spouse name	Height (ft / in)	Weight (lbs.)
c. Dependent name	Height (ft / in)	Weight (lbs.)
d. Dependent name	Height (ft / in)	Weight (lbs.)
e. Dependent name	Height (ft / in)	Weight (lbs.)

If you answered "yes" to any of the questions above, please provide details below and specify the question number. Attach additional signed and dated sheets if necessary.

Question number	Person treated last name	First name
Condition		
List symptoms encountered		
List treatments received		
List medical tests administered		
Medication(s) if any		
Date condition was first diagnosed	Date last seen by a doctor for this condition	

Group Number	Social Security Number

Health Savings Account

OH-80124-HA 5/2006

Group number | Benefit number | Class/Division

If you have medical coverage under another plan, you may not be eligible for an HSA. Please check with your tax advisor for details.

Do you elect the health savings account? ❍ No ❍ Yes

For help filling out this section, use the enrollment application HSA worksheet.

❶	How much were you allowed to contribute to any HSA in this calendar year to date?	$
❷	How much have you contributed to any HSA in this calendar year-to-date?	$
❸	How much do you wish to contribute to the HSA for the remainder of this calendar year?	$
❹	If your plan year spans two calendar years, how much are you allowed to contribute to your HSA for the portion of the plan year that falls in the second calendar year?	$
❺	How much have you already contributed to any HSA for the portion of your plan year that falls in the second calendar year?	$
❻	How much do you wish to contribute to your HSA for the portion of your plan year that falls in the second calendar year?	$
❼	Please provide the effective date of this HSA information (mm/01/yyyy)	/ 01 /

Beneficiary for this account will be the employee's estate. You may change beneficiary information on file with the bank that administers the HSA once the account is established.

Waiver (Refusal of coverage)

OH-80124-WV 5/2006

I acknowledge that I have been given the opportunity to apply for group coverage available to me and my dependents through my employer. I proclaim that I was not pressured or forced by my employer, the writing agent, or Humana into waiving (declining) coverage. If I have waived any coverage offered to me or my dependents, my signature below is evidence of this action. I hereby waive coverage for (check all that apply):

Medical for: ❍ Myself ❍ My spouse ❍ My dependent child(ren)

Dental for: ❍ Myself ❍ My spouse ❍ My dependent child(ren)

Basic life for: ❍ Myself ❍ My spouse ❍ My dependent child(ren)

Short-term income protection for: ❍ Myself

Health savings account for: ❍ Myself

I decline to apply for group coverage because of (check all that apply): ❍ Spousal coverage ❍ Medicare supplement ❍ Individual coverage ❍ Coverage under another carrier's plan provided by my employer ❍ Other:

I understand and agree:

- In the event that I should decide to apply for such coverage hereafter, that such subsequent application shall be subject to the applicable terms and conditions of the master group contract(s) or plan provisions as described in the Summary Plan Description which may require additional limitations and waiting periods.
- I may be required to furnish, at my own expense, evidence of health status satisfactory to Humana.
- If I am declining coverage for myself or my dependents (including my spouse) because of other coverage, I may in the future be able to enroll myself or my dependents provided that I request enrollment within 31 days after my other coverage ends.
- If I have a new dependent as a result of marriage, birth, adoption, or placement for adoption, I may be able to enroll myself and my dependents provided that I request enrollment within 31 days after the marriage, birth, adoption, or placement for adoption.
- Humana reserves the right to delay medical coverage and/or deny life or dental coverage with any future application for coverage.

Group Number | Social Security Number

Agreement

OH-80124-AA 5/2006

True and complete acknowledgement

I understand, agree and represent:

- I have read this document or it has been read to me.
- The answers provided within this entire application for coverage are to the best of my knowledge and belief, true and complete.
- Neither my employer nor the agent has the authority to waive a complete answer to any question, determine coverage or insurability, alter any contract, or waive any of Humana's other rights and requirements.
- If this application for coverage is accepted, coverage will be effective on the date specified by Humana on the certificate of coverage/ certificate of insurance.
- Any misrepresentation contained herein relied on by Humana may be used to reduce or deny a claim or void the contract within the contestable period if such misrepresentation materially affected the acceptance of the risk.
- Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer or health maintenance organization, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

I hereby enroll for benefits for which I am presently eligible or for which I may become eligible under my employer's group contract(s). If any deductions are required for this coverage, I authorize such deductions from my earnings. I reserve the right to revoke this deduction authorization at any time upon written notice unless I have chosen to use pretax deductions.

This document, together with any supplements, will form part of any contract and be the basis for any certificate of coverage/certificate of insurance issued.

Authorization

My dependents and I authorize any physician, medical or health care practitioner, hospital, clinic, veterans administration facility, other medical or medically-related facility, third party administrator, Pharmacy Benefit Manager, insurance, HMO or reinsuring company, the Medical Information Bureau, Inc., employer, the Consumer Reporting Agency or banking and financial institutions having information regarding myself and my dependents, including information concerning, advice, diagnosis, treatment and care of the physical, psychiatric, mental or emotional conditions, drug, substance or alcohol abuse, illness, and copies of all hospital or medical records, non-public personal health information, and any other non-medical information to share any and all such information with Humana, its reinsurer or its legal representatives, and its affiliates.

My dependents and I understand and agree:

- The information obtained by use of this authorization may be used by Humana to determine eligibility for coverage, eligibility for benefits under an existing policy, plan administration, and make claim determinations.
- If you decide not to sign this authorization, Humana can not complete your plan enrollment or determine your premium rate due to the inability to obtain the necessary information.
- If selecting the Health Savings Account (HSA), you authorize Humana or our banking partners to provide your account number to your employer for the purposes of depositing any contributions.
- Any information obtained will not be released by Humana to any person or organization except to reinsuring companies, the Medical Information Bureau, Inc. or other persons or organizations performing health care operations or business or legal services in connection with an application, claim or as may be otherwise lawfully required, or as I (we) may further authorize.
- Once personal and health (including medical, dental and pharmacy) information is disclosed pursuant to this authorization, it may be redisclosed by the recipient and the information may not be protected by federal and state privacy requirements.
- A copy of this authorization is available to me or my legal representative upon written request.
- A photographic copy of this authorization shall be as valid as the original.
- This authorization shall be valid for two years from the date shown below.
- I have the right to revoke this authorization at any time:
  - To revoke this authorization, I must do so in writing and send my written revocation to Humana's Privacy Office.
  - The revocation will not apply to information that has already been released in response to this authorization.
  - The revocation will become effective after it is received by Humana's Privacy Office.

State Notices

Warning: If you or your family members are covered by more than one health care plan, you may not be able to collect benefits from both plans. Each plan may require you to follow its rules or use specific doctors, dentists, and hospitals, and it may be impossible to comply with both plans at the same time. Before you enroll in this plan, read all of the rules very carefully and compare them with the rules of any other plan that covers you or your family.

Notice of Cancellation: If you are obligated for any part of a premium rate in connection with enrollment in this health plan, in addition to any right otherwise available to revoke an offer, you may cancel such agreement within 72 hours after having signed an enrollment form. Cancellation occurs when written notice of cancellation is mailed to Humana, its representatives or the employer (Ohio HMO and POS plans only).

Signature - please sign below if enrolling or waiving group coverage

Employee or legal representative signature: ______________________ Date: ____________

Name and relationship of legal representative: ______________________________

Spouse signature: ______________________ Date: ____________

(Only if selecting Life coverage over the guarantee issue amount.)